

1 **SCOUR CHARACTERIZATION DUE TO WATER FREE FALL**

2 **Abdolreza Osouli, Corresponding Author, Ph.D., P.E.**

3 Assistant Professor of Civil Engineering, Southern Illinois University at Edwardsville, 2065
4 Engineering Building, Edwardsville, IL, 62026-1800, Tel: 618-650-2816, Fax: 618-650-2555,
5 aosouli@siue.edu

6

7 **Timothy D. Stark, Ph.D., P.E.**

8 Professor of Civil and Environmental Engineering, University of Illinois at Urbana-Champaign,
9 205 N. Mathews Ave., Urbana, IL, 61801; Tel: 217-333-7394, Fax: 217-333-9464,
10 tstark@illinois.edu

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2 **ABSTRACT**

3 Characterization of scour due to free fall of water during storm surge is important for
4 transportation related structures. The roads and streets behind levees are the most typical
5 structures, which are vulnerable to storm surge. A sustained storm surge overtopping a floodwall
6 or weir can cause scour of landside embankment soils, which can compromise embankment
7 stability. Presence of wave action also contributes to scour progression and loss of lateral
8 support. As lateral support is reduced, the overloaded retaining structure can start tilting and
9 exacerbating the instability. Scour depth and stresses due to vertical flow are dependent on shear
10 stresses induced by the plunging water or vertical jet, height of the wall, storm surge and wave
11 heights, depth of the plunge pool on the landside of the retaining structure or a floodwall, and
12 erosion rate of the levee soils. This paper focuses on estimating scour depth and hydraulic
13 stresses imposed by overtopping due to a sustained storm surge. The analysis results are
14 compared to observed scour depths on the landside of floodwalls after Hurricane Katrina along
15 the Inner Harbor Navigation Channel (IHNC). The findings show that for transportation
16 structures which are prone to water free fall during storms, it is important to consider impinging
17 effect of water on soil supporting transportation structures.

18 Keywords: soil erosion, floodwall overtopping, scour, levee

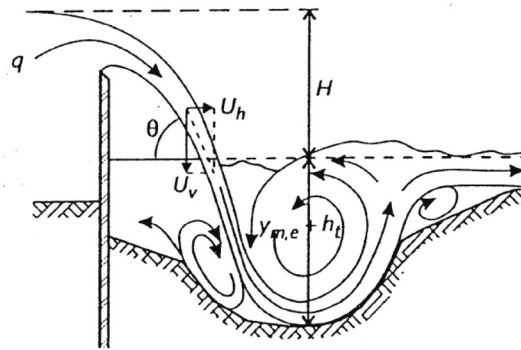
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1 INTRODUCTION

2 Free fall of water on highway embankments or roads causes scour and introduces instability. The
 3 impingement of water on the soil surface may also happen in elevated culverts outlets. The scour
 4 created by the free fall water can be different than the scour created around the bridge piers. The
 5 current design practice does not distinguish between the scour developed by water free fall and
 6 scour developed by passage of water parallel to soil surface. The objective of this paper is to
 7 demonstrate that the water flow parallel to soil surface and water free fall can result in very
 8 different scour depth. In order to characterize the scour generated by water free fall, overtopping
 9 of floodwalls are selected, because the scour monitoring data associated with levees are readily
 10 accessible.

11 Floodwalls are overtopped when flood levels reach the floodwall top elevation. Consequently, a
 12 free jet is formed and impingement of this free jet on the landside causes erosion and loss of
 13 floodwall lateral support as is shown in Figure 1. Once the shear stresses exceed the shear
 14 strength of the levee material, erosion occurs. The imposed shear stresses on the levee material
 15 are greater than for normal levee overtopping because of the vertical drop. The induced shear
 16 stresses have been estimated by many researchers (1; 2; 3; and 4). In this study, a scour analysis
 17 is conducted using the excess shear stress approach developed by Hanson et al. (2002).



18
 19 **FIGURE 1 Scour hole formation by overtopping jet (5).**

20 21 22 THEORETICAL FRAMEWORK

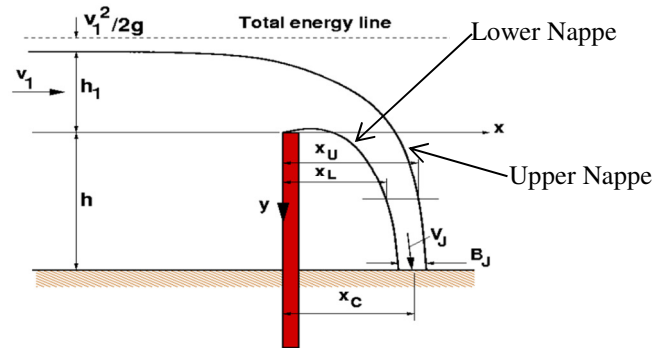
23 Applied Shear Stresses

24 Still, i.e., no waves, water overtopping a floodwall can be approximated by flow over a sharp-
 25 crested weir. The discharge of flow for this problem can be calculated using Equation 1 (6):

$$26 \quad q = C_d \frac{2}{3} \sqrt{2g} h_1^{3/2} \quad (1)$$

$$C_d = 0.611 + 0.08 \left(\frac{h_1}{h} \right)$$

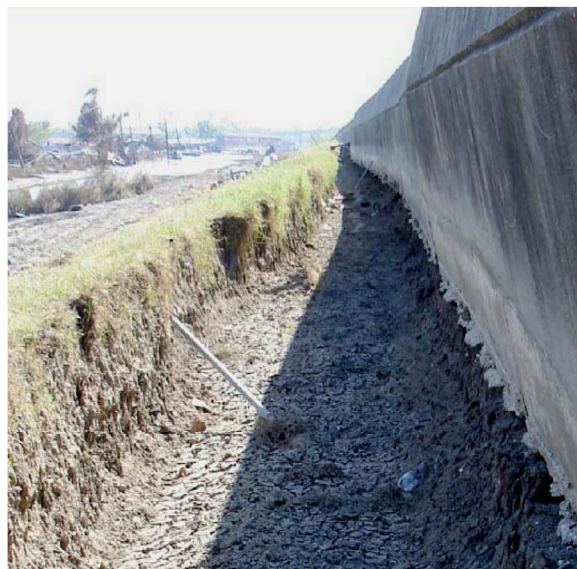
1 where, C_d , is discharge coefficient; h_1 is height of the surge above the wall; and h is depth of the
 2 water as shown in Figure 2. Using the discharge and surge height, the upstream velocity (V_1) of
 3 flow over the weir from the floodside can be determined and is important for assessing the
 4 erodibility of the landside soil (see Figure 2).



5

6 **FIGURE 2 Flow over a sharp-crested weir (modified after USACE 2011).**

7 The jet profile overtopping the floodwall has two surfaces which are called lower and upper
 8 nappes (nappe is a French word meaning “a continuous surface”) as shown in Figure 2. The
 9 trajectories of the lower and upper nappes are calculated based on open channel hydraulics (7;
 10 and 8). The profile of nappes at the impingement point of nappe to soil is determined based on
 11 surge height and velocity of the flow at the upstream. Consequently, the width of trajectory (B_j),
 12 velocity of the flow (V_j), the jet entry angle and its centerline distance from the wall (X_c) can be
 13 estimated at the impingement level (6). This jet can start eroding the landside surface material
 14 and develop a scour trench as shown in Figure 3 along the Inner Harbor Navigational Channel
 15 (IHNC) during Hurricane Katrina. In this case a surge crest of 0.6 m to 0.75 m above the
 16 floodwall, and probably some wave overtopping of 0.3 to 0.6 m, impacted the landside earthen
 17 levee causing the scour trench in Figure 3.



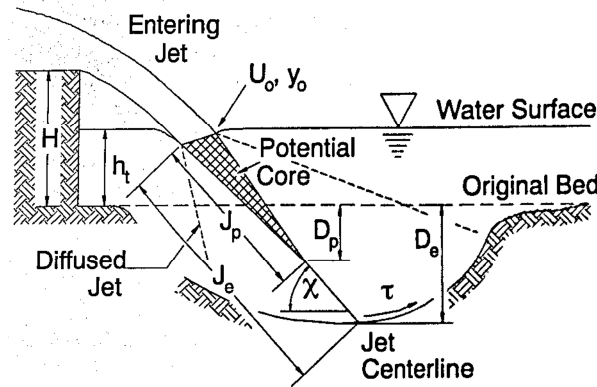
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19 **FIGURE 3 Scour and erosion trench on landside of IHNC during Hurricane Katrina. (9).**

1 In cases where an overtopping jet enters a landslide plunge pool, it diffuses in the water (see
 2 Figure 4). The centerline velocity of the jet remains constant for a distance of approximately six
 3 times the entry jet thickness. This distance has been referred to as the potential core length, J_p
 4 (10). Beyond this distance, the velocity (U) decreases as the jet diffuses and is defined using
 5 Equation 2 (1 and 3):

$$6 \quad \frac{U}{U_0} = C_d \sqrt{\frac{y_0}{J}} \quad \text{where} \quad J > J_p \quad (2)$$

7 where J is the distance along the jet centerline from the nozzle origin to the eroding bed, C_d ,
 8 diffusion constant which is assumed to be 2.6 in this study (3).



9

10 **FIGURE 4 Schematic of free overfall scour setup and parameter definitions (11).**

11 The maximum shear stresses acting upon the ground are a function of maximum velocity (U) in
 12 the impingement region (3) and is calculated using Equation 3:

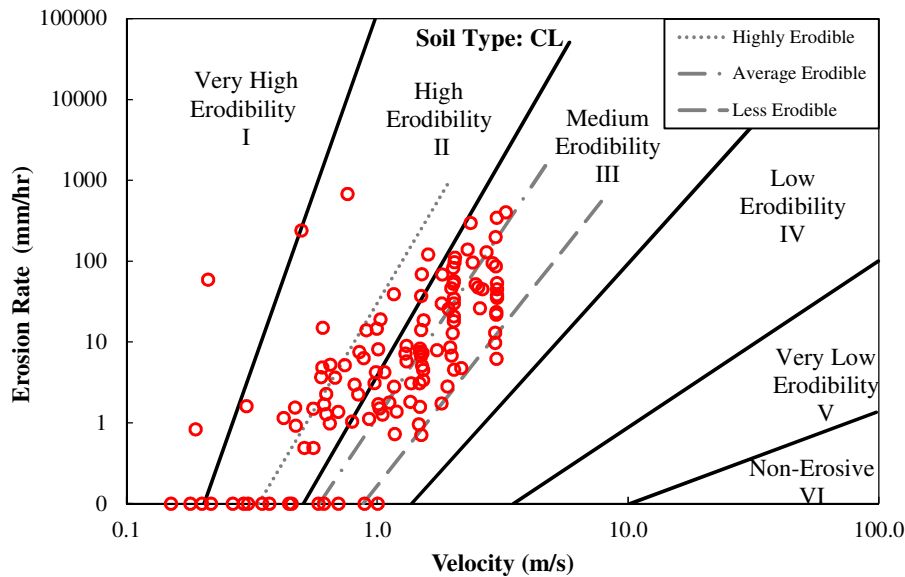
$$13 \quad \begin{aligned} \tau_0 &= C_f \rho U_0^2 \quad \text{where} \quad J \leq J_p \\ \tau &= C_f \rho \frac{y_0}{J} (C_d U_0)^2 \quad \text{where} \quad J > J_p \end{aligned} \quad (3)$$

14 where J is the distance along the jet centerline from the point of pool entry to the eroding bed; J_p
 15 is length of the jet potential core; U_0 and y_0 are jet velocity and jet thickness as it enters the pool;
 16 C_d is diffusion coefficient; and C_f is friction coefficient which is a function of Reynolds number.

17 Soil Erosion

18 The erodibility of soil is defined as the relationship between erosion rate and the velocity of
 19 water flowing over it or shear stresses developed by the water at the water/soil interface (12). In
 20 practice, the scour analyses are commonly conducted using the erosion function charts. These
 21 charts are produced using Erosion Function Apparatus (EFA) test (12; and 13). The EFA test
 22 was primarily developed to simulate erosion around bridge piers. Therefore, in this test water
 23 flows over a horizontal surface of soil sample and the erosion rate is monitored.

1 Govindasamy (2009) reports erosion rates obtained from EFA tests on low and high plasticity
 2 clays (i.e., CL and CH) which are shown in Figure 5 and Figure 6, respectively. These figures
 3 were modified herein to demonstrate the average, lower, and upper boundaries for the CL and
 4 CH materials tested. These boundaries are used to estimate the erosion rate for the stresses
 5 imposed by an overtopping water jet.

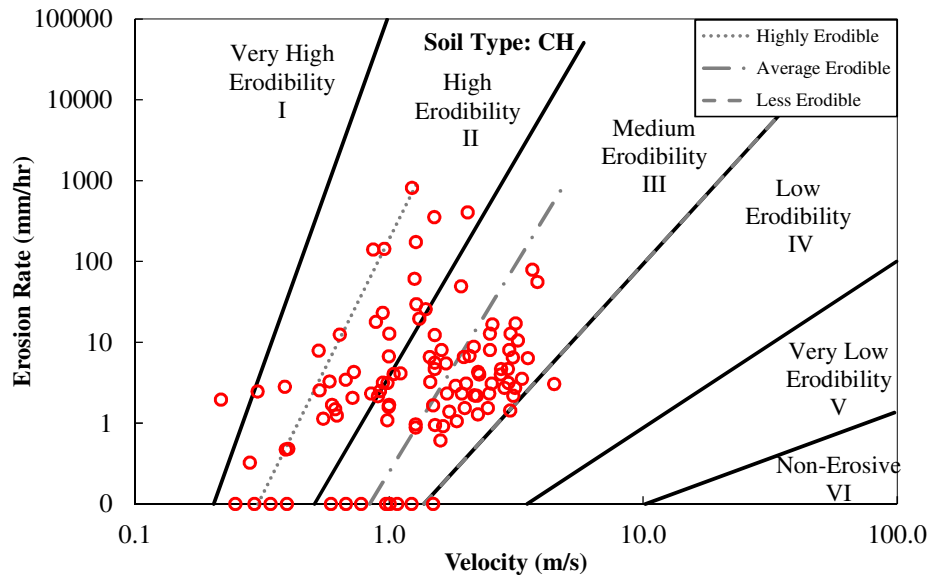


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 7 **FIGURE 5 EFA test data for low plasticity clays (CL) plotted on the Erosion Function**
 8 **Chart (modified after Govindasamy, 2009 (13)).**

9 The scour depth is a function of erosion rate and shear stress. The shear stress is a function of
 10 depth of scour and plunge pool depth. Therefore, the scour depth due to surge height above the
 11 floodwall is determined through an iterative procedure, which is summarized below:

- 12
- 13 • The velocity and width of overtopping jet at soil impingement level is estimated for
 - 14 the selected time step. If the water is plunged on the landside, the velocity and width
 - 15 of overtopping jet at plunge pool surface must be calculated.
 - 16 • The depth of scour is assumed zero at the selected time step.
 - 17 • The potential core length is determined.
 - 18 • The shear stress induced by the jet is calculated using Eqs. 2 and 3.
 - 19 • The erosion rate is calculated for the shear stress induced using the average, lower,
 - 20 and upper boundary lines shown in Figure 5 and Figure 6.
 - 21 • The scour depth is calculated for the selected time step.
 - 22 • If the scour depth is different than what was assumed, this procedure is repeated until
 - agreement is obtained between the assumed and calculated depths.

23 The effect of wave overtopping on scour depth is also important and may be more important than
 24 storm surge height. The trajectory of the wave overtopping is a function of wave height, wave
 25 period, and surge elevation relative to the wall. The hydrodynamic nature of the wave
 26 overtopping is complex and the subject of a future paper.

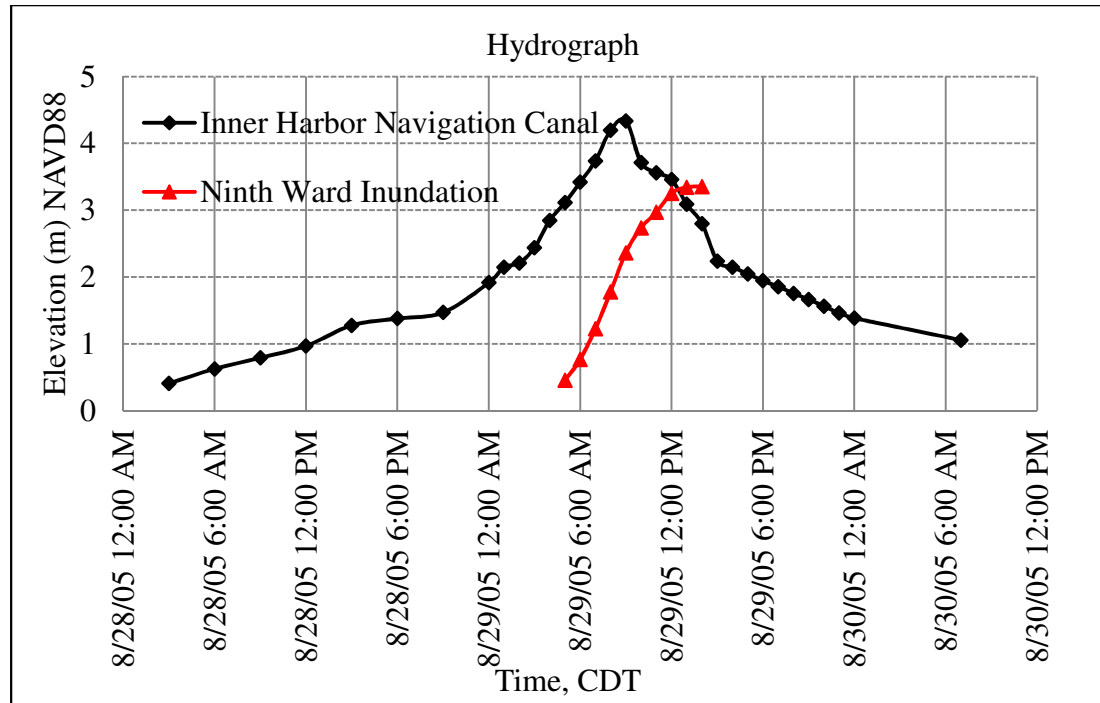


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2 **FIGURE 6 EFA test data on high plasticity clays (CH) plotted on the Erosion Function**
3 **Chart (modified after Govindasamy, 2009 (13)).**

4 **SCOUR DEPTH ANALYSES**

5 In order to demonstrate the influence of water free fall, the scour monitoring data of floodwalls
6 in New Orleans are used herein. The floodwall overtopping during Hurricane Katrina resulted in
7 scour trenches behind many floodwalls throughout New Orleans (see Figure 3). Along the IHNC
8 the levee soil consists of low to high plasticity clays at different stations (9). The hydrographs
9 reported for the flood and landsides of floodwall along the IHNC during the Katrina are shown in
10 Figure 7.

11 After Hurricane Katrina, Independent Levee Investigation Team (ILIT) conducted EFA tests on
12 soil samples collected from eleven locations that were likely overtopped during Katrina in New
13 Orleans (14). Figure 8 shows the test results for twenty-four samples. This graph shows the
14 majority of the clayey samples exhibit medium erodibility. The sandy samples show high to very
15 high erodibility. Although there was no sample tested from the IHNC levees, the erodibility of
16 clayey levees in IHNC is assumed within the range of other locations in New Orleans. A
17 comparison between Figures 8 and Figures 5 or 6 shows that the average erodibility boundary
18 lines for CL and CH materials shown in Figures 5 and 6 are a reasonable representation of the
19 erodibility of clayey samples collected from New Orleans's levees.

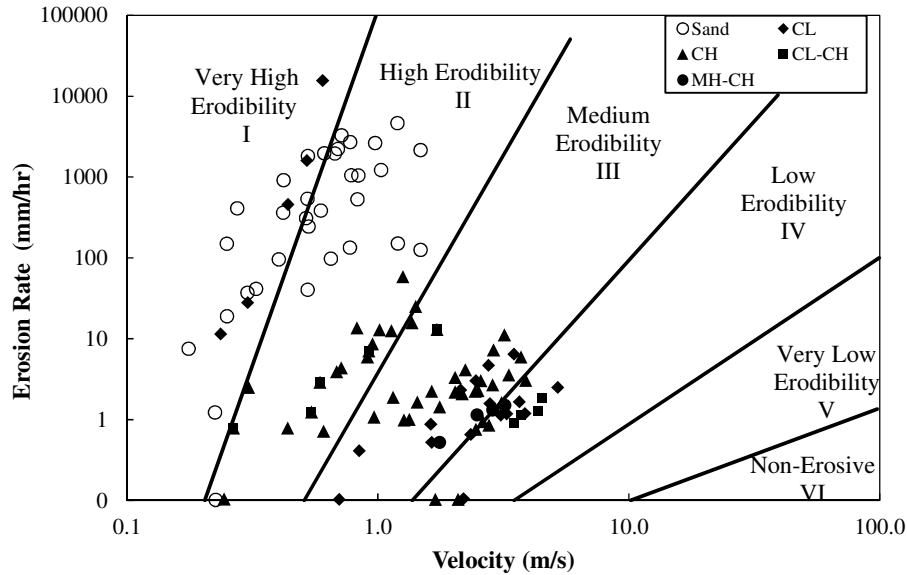


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2 **FIGURE 7 Hydrographs for water levels on flood and landsides along the IHNC.**

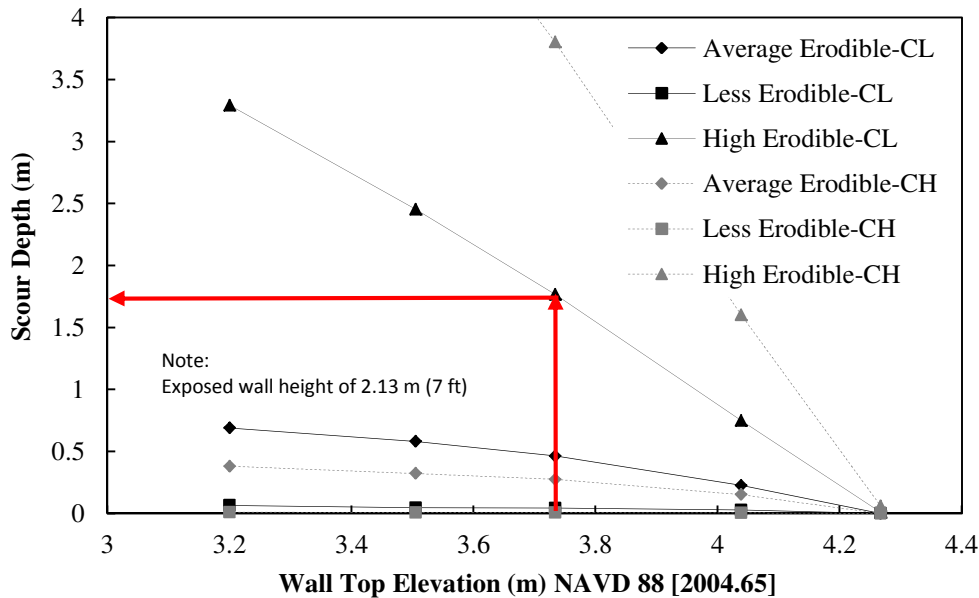
3 The scour analyses were conducted using wall top elevations of 3.20 m (10.5 ft), 3.51 (11.5),
 4 3.73 (12.25), 4.04 (13.25), and 4.27 (14.0) m (ft) NAVD88 [2004.65] for levees with low and
 5 high plasticity clayey soils. The exposed wall height was assumed to be 2.13 m (7 ft)
 6 representing the exposed wall height of most of the IHNC just west of the Lower Ninth Ward.
 7 The analysis results are shown in Figure 9 and show the scour depth for a highly erodible CL and
 8 a wall top elevation of 3.73 m (12.25 ft) NAVD88, is about 1.75 m. This scour depth can be used
 9 to determine whether or not it will undermine the floodwall and whether a concrete apron should
 10 be installed on the landside to resist surge scour.

11 Some studies of the levees along the IHNC just west of the Lower Ninth Ward estimate the
 12 exposed height of the flood wall was only about 1.8 m (6 ft) at the time of Hurricane Katrina. As
 13 a result, the scour analyses were also conducted using a wall height of 1.8 m (6 ft). The estimated
 14 scour depths for these analyses are within 6 to 7 percent of estimated scours based on exposed
 15 wall height of 2.13 m (7ft).



1

2 **FIGURE 8 EFA test results for 24 levee samples (modified after ILIT 2006 (14)).**



3

4 **FIGURE 9 Scour depth analysis results for low and high plasticity clayey soils.**

5

6 **COMPARISON WITH FIELD OBSERVATIONS**

7 The analysis results show that scour depths for the IHNC floodwall along the Lower Ninth Ward
 8 with top elevation of 3.81 m (12.5ft) NAVD88 should be about 0.43 and 0.25 m (1.4 and 0.82 ft)
 9 on average for CL and CH levee soils, respectively, from Figure 9. These estimated scour depths
 10 do not consider wave action. More importantly, the presented scour predictions are based on

1 erosion rates obtained from Erosion Function Apparatus charts which may not represent the
2 erosion rates due to the nearly vertical overtopping jets instead of flow around bridge piers.
3 Some studies on the performance of levees along the Lower Ninth Ward suggest a top elevation
4 of the floodwall of 3.2 m (10.5 ft) NAVD88. The analyses results for this wall top elevation
5 show scour depths of 0.69 and 0.38 m can develop for the CL and CH levee soils, respectively,
6 from Figure 9. The measured scour depth along the IHNC are about 0.6 m to 1.2 m (2 ft to 4 ft)
7 deep and significantly greater than the estimated values in this study which provides how the
8 commonly used methodology can underestimate the scour depth due to neglecting the
9 importance of water free fall and wave action effects.

10 The estimated horizontal distance of overtopped jet impingement to the ground surface or plunge
11 pool is estimated to be up to 1.6 m (5.3 ft) and 2.1 m (6.9 ft) from the floodwall for top of wall
12 elevations of 3.81 m (12.5 ft) and 3.2 m (10.5 ft) NAVD88, respectively. The width of the water
13 jet at the entry to the plunged pool is estimated to be 0.17 m (0.6 ft) and 0.51 m (1.7 ft) for the
14 wall top elevations of 3.81 m (12.5 ft) and 3.2 m (10.5 ft) NAVD88, respectively. The measured
15 scour trench widths along the IHNC floodwalls were about 1.5 m to 2.1 m (5 ft to 7 ft). As a
16 result, the analysis presented herein also underestimates the width of the water jet probably.

17 In summary, the existing analysis of a vertical water jet plunging over a sharp-crested weir does
18 not appear suitable for water free fall analyses. As a result, the scour depth and scour trench
19 width are underestimated because the commonly used method approximates the erosion
20 characteristics of the soil using the EFA test which simulates horizontal flow around bridge piers
21 instead of vertical flow over a floodwall. Also, wave overtopping is likely in high wind events,
22 which is not accounted in the design. This analysis may be suitable for flood events caused by
23 excessive upstream precipitation which create a slow rise in the retained water and limited wave.
24 An example of such an event is the 2011 Mississippi River flood (15) which washed out many
25 roads.

26 CONCLUSIONS

27 The analyses presented herein show scour characterization analyses can be performed using the
28 iterative procedure outlined herein for events with a sustained flood level. The presented
29 methodology also can be used when there is a plunging pool. However, this methodology will
30 not accurately estimate observed scour depths if wave overtopping occurs for the following two
31 reasons. First, wave action is expected to introduce higher jet velocities when it impinges the
32 ground. Second, the shear stresses acting upon the ground due to overtopping jets over
33 floodwalls are greater than the shear stresses induced by nearly horizontal flow used in the
34 Erosion Function apparatus. Therefore, the current design methodology for scour potential
35 analyses of road embankments where elevated grounds are located adjacent to the roadway or
36 free fall of water at the outlet of culverts should be used cautiously with considering its
37 limitations.

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